



CF-86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.

Application No.: 10/699,858 Confirmation No.: 5127

Filed : October 31, 2003

For : KEYBOARD TRADING SYSTEM

Group Art Unit : 2661

New York, New York
August 1, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR FOURTH
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

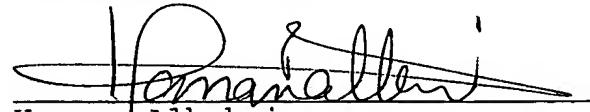
Sir:

Transmitted herewith is a Fourth Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

[] within three months of the application filing date;
 more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075 (Order No. 000741-0086). A duplicate copy of this letter is transmitted herewith.

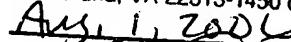
Respectfully submitted,



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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants wish to call the attention of the Examiner to the following documents:

U.S. Patent Documents

Iggulden et al.	5,579,002	(11/26/96)
Wolf et al.	5,668,358	(09/16/97)
Amro et al.	5,757,292	(05/26/98)
Jain et al.	6,343,278 B1	(01/29/02)
Houston	2002/0154038 A1	(10/24/02)

Foreign Patent Documents

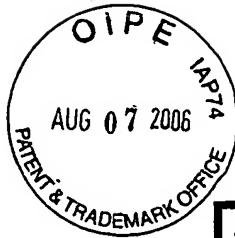
Canon Inc.	JP 59184929	(10/20/84)
PAe. Michelis & Preissner	DE 19606467 A1	(08/28/97)
Ergodex	WO 01/69366 A2	(09/20/01)
Higginson	WO 03/026135 A1	(03/27/03)

The aforementioned documents are listed on the accompanying Form PTO/SB/08A (submitted in duplicate), and copies thereof are enclosed herewith. It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants respectfully request that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

(use as many sheets as necessary)

Sheet

1

of

1

Complete if known

Application Number	10/699,858
Confirmation No.	5127
Filing Date	October 31, 2003
First Named Inventor	Howard W. Lutnick et al.
Art Unit	2661
Examiner Name	Not yet assigned

Attorney Docket Number

CF-86

U.S. PATENT DOCUMENTS

Examiner initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code ² (if known)			
		5,579,002	11/26/96	Iggulden et al.	
		5,668,358	09/16/97	Wolf et al.	
		5,757,292	05/26/98	Amro et al.	
		6,343,278 B1	01/29/02	Jain et al.	
		2002/0154038 A1	10/24/02	Houston	

Examiner Signature

Date Considered

FOREIGN PATENT DOCUMENTS

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		Country Code ¹ – Number ² – Kind Code ²				
		JP 59184929	10/20/84	Canon Inc.		
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		WO 01/69366 A2	09/20/01	Ergodex		
		WO 03/026135 A1	03/27/03	Higginson		

NON PATENT LITERATURE DOCUMENTS

Examiner initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶

Examiner Signature

Date Considered

3248299_1

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant's unique citation designation number (optional). ¹ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ² Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial

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Sheet 1 of 1

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